

# Summing-up Involutive Bases Computations Experience

Denis A. Yanovich

Joint Institute for Nuclear Research, Dubna, Russia




PCA2023, 17 April 2023

# In Memory of Professor V.P.Gerdt



21.01.1947 – 05.01.2021

# Involutive Division

-  Zharkov, A. Yu., Blinkov, Yu. A.: Involutive approach to investigating polynomial systems. Math. Comp. Simul., 42 (1996), 323-332
-  Gerdt, V. P., Blinkov, Yu. A.: Involutive Bases of Polynomial Ideals. Math. Comp. Simul. 45 (1998) 519–542
-  Gerdt, V. P., Blinkov, Yu. A.: Minimal Involutive Bases. Math. Comp. Simul. 45 (1998) 543–560

Let's somehow choose some variables  $M(u, U)$  of monomial  $u$  from monomial set  $U$  and call this subsets *multiplicative variables*.

Let's narrow conventional division: allow division only by variables from  $M(u, U)$ . This would be *involutive division*  $L$ .

# Involutive Division Traits

- global/local
- noetherian
- continuous
- constructive

# Janet Division: Example

$$U = \{x^2y, xz, y^2, yz, z^2\}, (x \succ y \succ z)$$

Monomial	Nonmultiplicative	Multiplicative
$x^2y$	–	$x, y, z$
$xz$	$x$	$y, z$
$y^2$	$x$	$y, z$
$yz$	$x, y$	$z$
$z^2$	$x, y$	$z$

Given a monomial order  $\succ$ , a finite monomial set  $U$ , and a monomial  $u \in U$ , the *Janet separation* of variables into  $M_J(u, U)$  and  $NM_J(u, U)$  is defined as follows:

For each  $1 \leq i \leq n$  divide  $U$  into groups labeled by non-negative integers  $d_1, \dots, d_i$

$$[d_1, \dots, d_i] = \{v \in F \mid d_j = \deg_j(v), 1 \leq j \leq i\}.$$

$x_1$  is (Janet) multiplicative for  $u \in U$  if

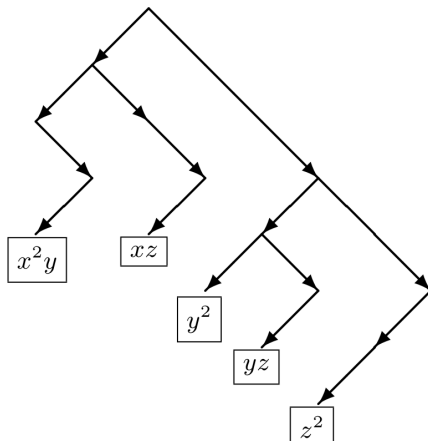
$$\deg_1(u) = \max\{\deg_1(v) \mid v \in U\}$$

For  $i > 1$   $x_i$  is multiplicative for  $u \in [d_1, \dots, d_{i-1}]$  when

$$\deg_i(u) = \max\{\deg_i(v) \mid v \in [d_1, \dots, d_{i-1}]\}$$



Gerdt, V.P., Blinkov, Y., Yanovich, D.: Construction of Janet bases I: Monomial bases. In: Ghanza, V., Mayr, E., Vorozhtsov, E. (eds.) Computer Algebra in Scientific Computing, CASC 2001, pp. 233–247. Springer-Verlag, Berlin (2001)



## Definition, monomial

$$(\forall u \in U) (\forall x \in NM_L(u, U)) (\exists v \in U : v|_L(u \cdot x))$$

## Involutive normal form

$$NF_L(p, F) = p - \sum_{ij} \alpha_{ij} m_{ij} g_j$$

$$\alpha_{ij} \in \mathbb{K}, g_j \in F, m_{ij} \in M(\text{lm}(g_j), \text{lm}(F)), \text{lm}(m_{ij} g_j) \preceq \text{lm}(p).$$



# Involutive Polynomial Ideal

Given an ideal  $I \subset \mathbb{R}$ , an involutive division  $L$  and monomial order  $\succ$ , a finite  $L$ -autoreduced subset  $T \subset \mathbb{R}$  generating  $I$  is called its  $L$ -*(involutive) basis* if

$$(\forall g \in I) (\exists f \in T) [ \text{lm}(f) \mid_L \text{lm}(g) ]$$

If division  $L$  is continuous this is equivalent to

$$(\forall f \in T) (\forall x_i \in NM_L(\text{lm}(f), \text{lm}(T))) [ NF_L(x_i \cdot f, T) = 0 ]$$

The product  $x_i \cdot f$  of polynomial  $f \in T$  and  $x_i \in NM_L(f, T)$  is called *nonmultiplicative prolongation* of  $f$ , and construction of involutive bases is often called *completion*.



Involutive and Gröbner bases are examples of *Canonical Form*

# Involutive Basis Computation Algorithm

**Input:**  $F, L, \prec$

**Output:**  $T$  – involutive basis of  $F$

- 1:  $T := \emptyset \quad Q := F$
- 2: **while**  $Q \neq \emptyset$  **do**
- 3:    $T := T \cup \{p \mid \text{lm}(p) = \min(\text{lm}(Q)), \text{NF}_L(p, T) \neq 0\} \leftarrow !!!$
- 4:    $Q := Q \setminus \{p\}$
- 5:    $Q := Q \cup \{p' \mid \forall x \notin M(\text{lm}(p), \text{lm}(T)) : p' = p \cdot x\}$
- 6:   **for all**  $\{r \in T \mid \text{lm}(r) \sqsupseteq \text{lm}(p)\}$  **do**
- 7:      $Q := Q \cup \{r\}; \quad T := T \setminus \{r\}$
- 8:   **od**
- 9: **od**

-  Yanovich, D. A.: Parallelization of an Algorithm for Computation of Involutive Janet Bases. Prog. and Comp. Soft., 28(2), 2002, pp. 66-69
-  Gerdt V.P., Yanovich D.A.: Parallelism in Computing Janet Bases // Proceedings of the Workshop on Under- and Overdetermined Systems of Algebraic or Differential Equations (Karlsruhe, March 18-19, 2002), J.Calmet, M.Hausdorf, W.M.Seiler (Eds.). Institute of Algorithms and Cognitive Systems, University of Karlsruhe. 2002, P.47-56.

# Parallel Algorithm: SMP

```
1:  $T := \emptyset$   $Q := F$ ,  $F$  – initial polynomial set,  $T$  – basis
2: while  $Q \neq \emptyset$  do
3:    $S := \emptyset$   $P := \{ q_i \in Q \mid i \leq K_{thr}, q_i - \min \in Q \}$ 
4:    $Q := Q \setminus P$ 
5:    $S := NF_{Lead}(P)$  using  $K_{thr}$  threads
6:    $Q := Q \cup S$ 
7:    $T := T \cup \{ p \mid lm(pol(p)) = \min(lm(Q)) \}$   $Q := Q \setminus \{ p \}$ 
8:    $Q := Q \cup \{ p \cdot x_i \mid x_i \in nmp(p) \}$ 
9:   if  $lm(pol(p)) = anc(p)$  then
10:    for all  $\{ r \in T \mid lm(pol(r)) \sqsupseteq lm(pol(p)) \}$  do
11:       $Q := Q \cup \{ r \}$ ;  $T := T \setminus \{ r \}$ 
12:    od
13:     $S := NF_{Full}(T)$  using  $K_{thr}$  threads
14:     $T := S$ 
15:  fi
16: od
```



Yanovich, D.A.: Reduction-Level Parallel Computations of Gröbner and Janet Bases. *Bulletin of Peoples' Friendship University of Russia, Mathematics. Information Sciences. Physics.* No.3, Issue 2 (2010), pp. 19–24.

- 1:  $GroupSize :=$  number of computational nodes
- 2:  $MyRank :=$  rank in group
- 3:  $T := \emptyset$   $Q := F$
- 4: **if**  $MyRank = 0$  **then**
- 5:   distribute  $Q$  to  $Q_i$
- 6: **fi**
- 7: **while**  $Q_i \neq \emptyset \mid i = 0..GroupSize$  **do**
- 8:   Algorithm Step
- 9: **od**

## Parallel Algorithm: Distributed, Step 1/2

- 1:  $S := \emptyset$   $P := \{ q_i \in Q \mid q_i - \min \in Q \}$
- 2:  $Q := Q \setminus P$   $S := NF_{Lead}(P)$   $Q := Q \cup S$
- 3:  $h := \{ p \in Q \mid \text{Im}(\text{pol}(p)) = \min(\text{Im}(Q)) \}$   $Q := Q \setminus \{p\}$
- 4: **gather**  $h_i$   $i = 0..GroupSize$
- 5: **choose**  $h_j \mid \text{Im}(\text{pol}(h_j)) = \min(\text{Im}(\{h_i\}))$
- 6: **if**  $MyRank = j$  **then**
- 7:     **broadcast**  $h_j$  **as**  $h$  – new basis element
- 8: **else**
- 9:      $Q := Q \cup h_{MyRank}$
- 10: **fi**
- 11:  $T := T \cup h$
- 12: **if**  $MyRank = 0$  **then**
- 13:      $S := \{ h \cdot x_i \mid x_i \in \text{nmp}(h) \}$
- 14:     distribute  $S$  by  $Q_i$
- 15: **fi**

## Parallel Algorithm: Distributed, Step 2/2

```
1: if  $\text{lm}(\text{pol}(h)) = \text{anc}(h)$  then  
2:   for all  $\{ r \in T \mid \text{lm}(\text{pol}(r)) \sqsupseteq \text{lm}(\text{pol}(h)) \}$  do  
3:      $T := T \setminus \{r\}$   
4:     if  $\text{MyRank} = 0$  then  
5:        $Q := Q \cup \{r\}$   
6:     fi  
7:   od  
8:    $S := \text{NF}_{\text{Full}}(T)$   
9:    $T := S$   
10: fi
```

# Multimodular Basis Computation: Problem

-  Yanovich, D.A.: Parallel modular computation of Gröbner and involutive bases. Program Comput Soft 39 (2013), 110–113

## Motivation

- 1 Method to avoid intermediate coefficient growth
- 2 Natural and effective parallelism

## Difficulties

- 1 Unlucky primes
- 2 One cannot directly lift the polynomial with  $\mathbb{Z}$ -coefficients from its modular images: each of them is multiplied by the unknown common modular factor  $c_p \cdot f_p$ , different for every  $p$



# Multimodular Basis Computation: Theory

## Chinese Remainder Theorem

Having modular images  $c_1, \dots, c_n$  of a number  $c$  with respective modules  $m_1, \dots, m_n$  one can construct  $c/\mathbb{Z}_M$ ,  $M = \prod m_i$

## Farey Fractions

The Farey fractions  $\mathbb{F}_N$  is the set of all fractions in lowest terms between 0 and 1 whose denominators do not exceed  $N$ , arranged in order of magnitude. There is a one-to-one mapping between  $\mathbb{F}_N$  and  $0, 1, \dots, p-1$ , where  $N \leq \sqrt{(p-1)/2}$  (P. Kornerup, R. T. Gregory: Mapping Integers and Hensel Codes Onto Farey Fractions)




## Example

$$\mathbb{F}_5 = \left\{ \frac{0}{1}, \frac{1}{5}, \frac{1}{4}, \frac{1}{3}, \frac{2}{5}, \frac{1}{2}, \frac{3}{5}, \frac{2}{3}, \frac{3}{4}, \frac{4}{5}, \frac{1}{1} \right\}$$

# Multimodular Basis Computation: Algorithm Outline

- Get the polynomial system in  $\mathbb{Z}$  and compute several bases (Gröbner or involutive) in  $\mathbb{Z}_p$  over  $m_1, \dots, m_n$
- Select images modulo lucky primes
- Reconstruct them to  $\mathbb{Z}_M$ ,  $M = \prod m_i$
- Map all modular coefficients to  $\mathbb{F}_M$  Farey fractions and integer numerator. If  $M$  is sufficiently large, we will have all rational coefficients from the basis of the original system reconstructed.

# System of Linear Difference Equations

-  Gerdt, V.P.: Gröbner Bases Applied to Systems of Linear Difference Equations, *Physics of Particles and Nuclei Letters*, **5**, no. 3 (2008), pp. 248-254
-  Gerdt, V.P., Robertz, D.: Computation of Difference Gröbner Bases, *Computer Science Journal of Moldova*, **20**, no. 2(59) (2012), pp. 203-226
-  Yanovich, D.A.: Computing Gröbner and Involutive Bases for Linear Systems of Difference Equations, *EPJ Web Conf.* Volume 173, 2018

# Difference Ideals

## Difference operators

Let indeterminates  $y^1, \dots, y^m$  be functions of variables  $x_1, \dots, x_n$   
Let  $\theta_1, \dots, \theta_n$  be differences

$$(\theta_i \circ y^j)(x_1, \dots, x_n) = y^j(x_1, \dots, x_i + 1, \dots, x_n)$$

## Difference ring properties

$$\begin{aligned}\theta_i \theta_j &= \theta_j \theta_i \\ \theta_i \circ (f + g) &= \theta_i \circ f + \theta_i \circ g \\ \theta_i \circ (fg) &= (\theta_i \circ f)(\theta_i \circ g)\end{aligned}$$

## Monomial ordering – ranking

$$\theta_i \theta^\mu \circ y^j \succ \theta^\mu \circ y^j$$

$$\theta^\mu \circ y^j \succ \theta^\nu \circ y^k \Leftrightarrow \theta_i \theta^\mu \circ y^j \succ \theta_i \theta^\nu \circ y^k$$

Having ordering we can define leading term, normal form of difference equation, Gröbner and involutive basis for systems much alike algebraic polynomial case

## From algebraic to difference

Prolongation by variable  $\Rightarrow$  multiplying by shift operator

# Difference Ideals: Algorithm Outline

**Input:**  $F, L, \prec$

**Output:**  $T$  – involutive basis of  $F$

```
1:  $T := \emptyset$   $Q := F$ 
2: while  $Q \neq \emptyset$  do
3:    $T := T \cup \{p \mid \text{lm}(p) = \min(\text{lm}(Q)), NF(p, T) \neq 0\}$ 
4:    $Q := Q \setminus \{p\}$ ,  $Q := Q \cup \theta^\mu \circ p, \mu \in NM(p, T)$ 
5:   if  $\text{lm}(p) == \text{anc}(p)$  then
6:     for all  $\{r \in T \mid \text{lm}(r) = \theta^\mu \circ \text{lm}(p)\}$  do
7:        $Q := Q \cup \{r\}$ ;  $T := T \setminus \{r\}$ 
8:     od
9:   fi
10: od
```

# Tableau Data Structure



Yanovich, D.A.: Computation of Involutive and Gröbner Bases Using the Tableau Representation of Polynomials, Prog. and Comp. Soft., Volume 46 (2), 2020, pp.162-166

## Monomials

Let's construct the all-monomials-index: one number corresponds to the one monomial. Let it be the number of the column in the big tableau

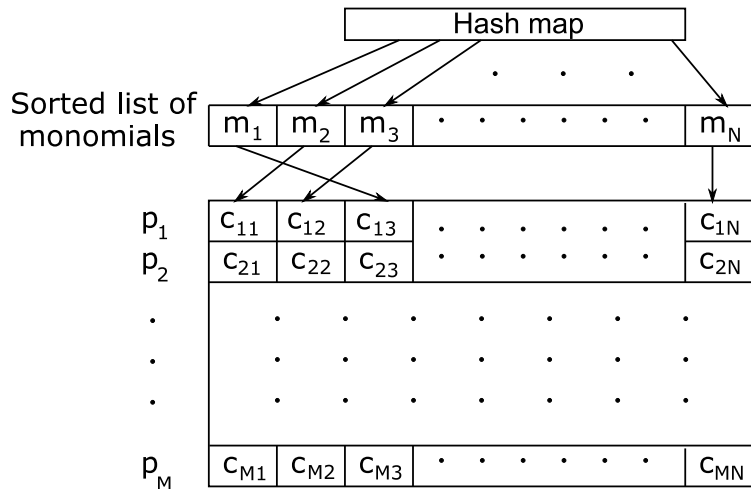
## Polynomials

The one row of the big DENSE tableau corresponds to the one polynomial

## Coefficients

Crossing of the column (monomial) and the row (polynomial) gives us term coefficient

# Tableau polynomial set representation





# Parallel Computations: Tableau

## Involutive Bases

When computing involutive bases one already have some sort of the natural parallelism (many reductions can be done in parallel)

## Tableau

We don't have any strong coupling in the tableau: one can COMPUTE and STORE any part of the tableau separately

## GPU Computations?

Yes! We don't have any pointer in our tableau, it's a perfect match for CUDA-like kernels.

# What Next?

It was interesting and pleasant 25 years journey but all has it's ending, seems I have done all that I could:

- all repositories will be cleaned up and presented as public domain eventually

SO LONG AND...



Thanks for all the fish!