

# On pictures related to some exponential sums

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# Preliminaries

Consider the field  $\mathbb{F}_p = \mathbb{Z}/p\mathbb{Z}$  of prime order  $p$  and a non-trivial character  $\chi$  of its multiplicative group extended by setting  $\chi(0) = 0$ . Let  $e_p$  be the additive character

$$x \mapsto \exp(2\pi ix/p)$$

of  $\mathbb{F}_p$ . Given one-variable polynomials  $f, g$  over  $\mathbb{F}_p$ , consider the sum

$$\sum_{x \in \mathbb{F}_p} \chi(f(x)) e_p(g(x)).$$

That is an exponential character sum of mixed type. Under some general assumptions on  $f, g$  and  $\chi$ , one has

$$\left| \sum_{x \in \mathbb{F}_p} \chi(f(x)) e_p(g(x)) \right| \leq (m + n - 1) \sqrt{p}$$

with  $n = \deg(g)$  and  $m = \deg(\text{radical of } f)$ .

That means, the points

$$\frac{1}{(m+n-1)\sqrt{p}} \sum_{x \in \mathbb{F}_p} \chi(f(x)) e_p(g(x))$$

belongs to the unit circle  $D = \{z \in \mathbb{C} \mid |z| \leq 1\}$ .

We refer to J.-P. Serre (Astérisque 41–42, 1977) for review of general theory (involving multi-variable polynomials).

For given character  $\chi$  and polynomials  $f$  and  $g$ , we may be interested in these points for all possible prime  $p$  and in distribution of these points within  $D$ .

We can take  $f(x) = g(x) = x$  to get the classical Gauss sums

$$G(\chi) = \sum_{x \in \mathbb{F}_p} \chi(x) e_p(x)$$

for which a lot of beautiful formulas are known, say

$$|G(\chi)|^2 = p, \quad G(\chi)G(\bar{\chi}) = \chi(-1)p \quad \text{and so on.}$$

One say  $G(\chi)$  is a quadratic, cubic or sextic sums according to  $\chi$  is a character of order 2, 3 or 6. The problem of evaluating the Gauss sums is extremely deep. By Gauss, for the quadratic character  $\kappa$ , the sum  $G(\kappa)$  is equal to  $\sqrt{p}$  or  $i\sqrt{p}$  according to  $p \equiv 1 \pmod{4}$  or  $p \equiv 3 \pmod{4}$ .

In the present lecture we consider the exponential sums

$$E_p(\psi) = \frac{1}{2\sqrt{p}} \sum_{x \in \mathbb{F}_p} \psi(x) e_p(x^2)$$

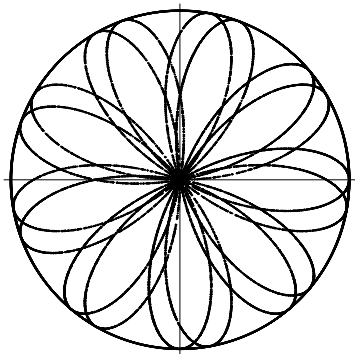
attached to cubic characters  $\psi$ . The normalizing factor  $2\sqrt{p}$  is involved to ensure that

$$E_p(\psi) \in D = \{z \in \mathbb{C} \mid |z| \leq 1\}.$$

We are interested in distribution of the points  $E_p(\psi)$  in the circle  $D$ .

# Numerical observations

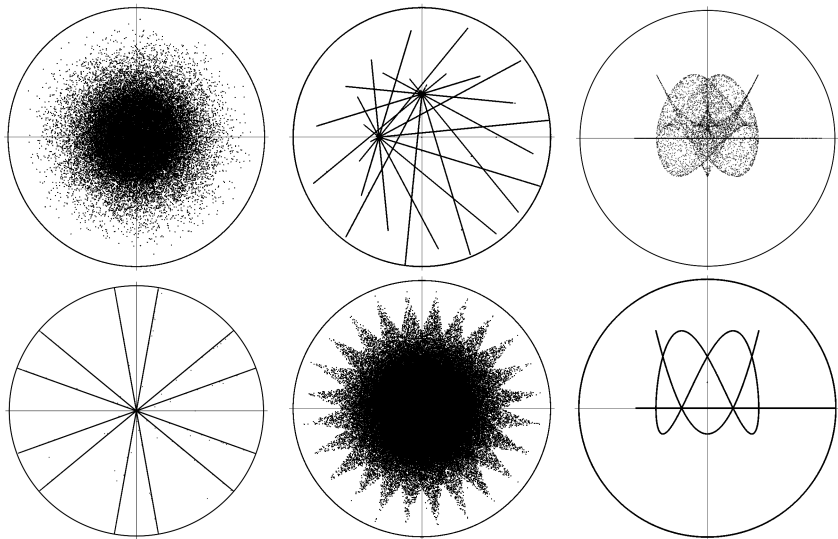
We have evaluated the sums  $E_p(\psi)$  for all cubic characters  $\psi$  and for all prime  $p \equiv 1 \pmod{6}$  subject to  $p \leq 360000$ . The assumption  $p \equiv 1 \pmod{6}$  is included to ensure the existence of cubic characters.



The real and imaginary axis on  $\mathbb{C}$ ,  
the boundary  $\bar{D}$  of circle  $D$ ,  
$$\bar{D} = \{z \in \mathbb{C} \mid |z| = 1\}.$$

The boundary of 18-petals flower  
is formed by the points  $E_p(\psi)$ .  
We will recognise six copies of  
the Kepler trifolium here.

This flower is very exceptional and beautiful one. We find a lot of different pictures for other exponential sums. Samples:



## Evaluation of $E_p(\psi)$ in terms of Gauss sums

To deal with the sums  $E_p(\psi)$  and with cubic characters  $\psi$ , assume  $p \equiv 1 \pmod{6}$ . In this case we have two cubic characters  $\psi$  and  $\bar{\psi}$ , the quadratic character  $\kappa$ , and the characters  $\kappa\psi$  and  $\kappa\bar{\psi}$  of order six. Our first observation is that

$$E_p(\psi) = \frac{1}{2\sqrt{p}} \{ G(\bar{\psi}) + G(\kappa\bar{\psi}) \}.$$

To prove this formula, notice that  $\#\{x \in \mathbb{F}_p \mid x^2 = t\} = 1 + \kappa(t)$  for all  $t \in \mathbb{F}_p$  and  $\psi(x) = \bar{\psi}(x^2)$  for all  $x \in \mathbb{F}_p$ . We then have

$$\begin{aligned} 2\sqrt{p}E_p(\psi) &= \sum_{t \in \mathbb{F}_p} \#\{x \in \mathbb{F}_p \mid x^2 = t\} \bar{\psi}(t) e_p(t) \\ &= \sum_{t \in \mathbb{F}_p} (1 + \kappa(t)) \bar{\psi}(t) e_p(t) = G(\bar{\psi}) + G(\kappa\bar{\psi}), \text{ as claimed.} \end{aligned}$$

Our second observation is that the sextic Gauss sums can be evaluated in terms of cubic and quadratic ones by the formula

$$G(\kappa\bar{\psi}) = \bar{\psi}(2) G(\kappa) G(\psi)^2 / p.$$

of B. C. Berndt and R. J. Evans (1979). Also,  $G(\bar{\psi}) = p/G(\psi)$ . We obtain the following result.

**Proposition 1.** *For every prime  $p \equiv 1 \pmod{6}$ , one has*

$$E_p(\psi) = \frac{1 + QT^3}{2T} \quad \text{with } T = G(\psi)/\sqrt{p}, \quad Q = \bar{\psi}(2)G(\kappa)/\sqrt{p}.$$

Here  $\psi$  and  $\kappa$  are cubic and quadratic characters of  $\mathbb{F}_p$  and  $|T| = |Q| = 1$ .

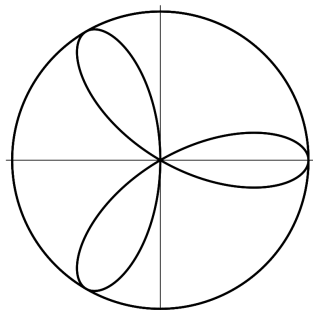


## Kepler trifolium.

Consider the complex plane  $\mathbb{C}$  with the coordinates  $x = \operatorname{Re} z$ ,  $y = \operatorname{Im} z$ ,  $z \in \mathbb{C}$ , the unit circle  $D$  centred at the origin  $0$ , its boundary  $\bar{D}$  and the curve  $C$  defined by the equation

$$(x^2 + y^2)^2 + 3xy^2 - x^3 = 0.$$

The  $C$  is known as the Kepler trifolium and also as the regular trifolium, the three leaf/petal rose, the three leaf/petal clover.



The curve  $C$  remains unchanged when rotated through the angles of  $\pm 2\pi/3$ . It can be given by the polar equation  $r = \cos(3\varphi)$ ,  $r$  being a point on the axis obtained by rotation of the real axis through the angle  $\varphi$ .

# Parametrization of trifolium

The Kepler trifolium  $C$  can be parametrized by the rational function

$$z \mapsto \frac{1+z^3}{2z} \quad \text{on } \bar{D} = \{z \in \mathbb{C} \mid |z| = 1\}$$

Our observation is as follows.

**Proposition 2.** *The function above takes any point of  $\bar{D}$  to some point of  $C$ . It takes cubic roots of  $-1$  to the triple point  $0$  of  $C$ . It takes cubic roots of  $1$  to the cubic roots of  $1$ . Except for the point  $0$ , every point of  $C$  is the image of an unique point of  $\bar{D}$ . The boundary of each petal is the image of someone arc in  $\bar{D}$  whose endpoints are the cubic roots of  $-1$ .*

All the statements can be proved by routine computation involving the equations defining  $\bar{D}$  and  $C$ .

For our purposes, we need to complete the parametrization with one more observation.

**Proposition 3** *Let  $v = \exp(it)$ ,  $t \in \mathbb{R}$ . The image of  $\bar{D}$  under the function*

$$z \mapsto \frac{1 + vz^3}{2z}$$

*is  $C' = wC$  with  $w = \exp(it/3)$ . The curve  $C'$  is obtained by rotation of  $C$  around 0 through the angle  $t/3$ .*

Indeed, as the point  $z$  runs over  $\bar{D}$ , the point  $wz$  runs over  $\bar{D}$  also, and the point

$$\frac{1 + vz^3}{2z} = w \frac{1 + (wz)^3}{2(wz)}$$

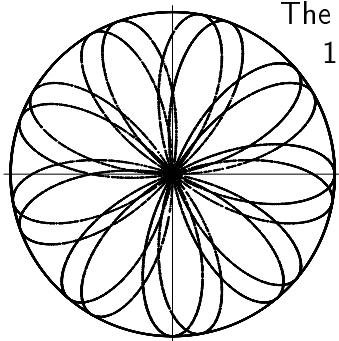
runs over  $C' = wC$  (by parametrization above), as claimed.

## Distribution of the points $E_p(\psi)$

Now we are ready to give a theoretical explanation to our numerical observation on distribution of the sums  $E_p(\psi)$ . Compare the function

$z \mapsto \frac{1 + vz^3}{2z}$  in Proposition 3 with the formula  $E_p(\psi) = \frac{1 + QT^3}{2T}$

in Proposition 1. The  $z$  and  $T$  runs over  $\bar{D} = \{z \in \mathbb{C} \mid |z| = 1\}$ .



The only possible values of  $Q$  are six numbers  $1, \omega, \omega^2, i, i\omega, i\omega^2$  with  $\omega^3 = 1$ . It follows that any point  $E_p(\psi)$  belongs to some of six curves  $wC$  obtained by rotation of  $C$  around 0 through the angles  $w = 0, \pm 2\pi/9, \pi/6, \pi/2 \pm \pi/9,$

$$E_p(\psi) \in \tilde{C} = \bigcup wC.$$

One concluding remark.

The points  $T = G(\psi)/\sqrt{p}$  in Proposition 1 forms everywhere dense subset of the unit circle  $\bar{D}$ . That is known from research of the cubic Gauss sums related to the Kummer problem, D. R. Heath-Brown and S. J. Patterson (1979).

It seems likely that

*the set of all the points  $E_p(\psi)$  is everywhere dense in  $\tilde{C}$ .*

That is an open question.